

NATIONAL PENSION SYSTEM (NPS) - SUBSCRIBER REGISTRATION FORM - PRIVATE SECTOR

How did you hear about NPS ☐ Friend/ Family ☐ Social media ☐ Newspaper/Magazines ☐ TV / Radio ☐ Financial advisor /apps ☐ Employer

PRAN Card & Kit*
(refer sl no.1 of instructions)

☐ ePRAN Kit ☐ Physical PRAN Kit

Print my PRAN in Hindi

☐ YES ☐ NO If Yes, please submit details as per Annexure I

Please select your category*

☐ Corporate ☐ All Citizen

To

National Pension System Trust

Dear Sir/Madam,

I hereby request that an NPS account be opened in my name as per the particulars given below:

* indicates mandatory fields. Please fill the form in English and BLOCK letters (Refer general guidelines at instructions page).

CKYC Identifier

RA Code

1. PERSONAL DETAILS: (Refer Sr no 1 of instructions)

Use Annexure II if name exceeds the space provided below

Salutation*

☐ Shri ☐ Smt. ☐ Kumari

Applicant Name*

F i r s t M i d d l e L a s t

Father's Name

F i r s t M i d d l e L a s t

Mother's Name

F i r s t M i d d l e L a s t

Either Father's or Mother's name is mandatory*

Select the name to appear on PRAN Card

☐ Father's Name ☐ Mother's Name

Date of Birth*

d d m m y y y y

Place of Birth*

Country of Birth*

Gender*

☐ Male ☐ Female ☐ Transgender

Nationality*

Marital Status*

☐ Unmarried ☐ Married ☐ Widow/Widower ☐ Divorcee

Spouse Name* (if married)

F i r s t M i d d l e L a s t

PAN Card*

or Form 60 furnished

Submission of PAN or Form 60 is mandatory

Income Range (per annum)

☐ Below 1 lac to 5 lac ☐ 5 lac to 10 lac ☐ 10 lac to 25 lac 2 ☐ 5 lac to 1 Cr ☐ Above 1 Cr

Occupation Details*

☐ Public Sector ☐ Private Sector ☐ Professional ☐ Self Employed ☐ Homemaker ☐ Others

Please Tick If Applicable

☐ Politically exposed person ☐ Related to Politically exposed person (Please refer instruction no. 1)

2. PROOF OF IDENTITY AND ADDRESS * (Refer Sr no 2 of instructions)

Passport

Driving License

Voter ID Card

NREGA Job Card

National Population Register

Passport Expiry Date

Driving License Expiry Date

Proof of possession of Aadhaar

Provide last four digits

☐ PoP Certificate
(refer section 12)

3. ADDRESS DETAILS* (As per the proof submitted)

Line 1

Line 2

District

Country

State/U.T.

PIN Code

4. CONTACT DETAILS*

Mobile*

9 1

Telephone with STD code

Email ID*

5. BANK DETAILS* (Proof to be submitted- Refer Sr no. 3 of the instructions)

Account Type

☐ Savings A/c ☐ Current A/c

Bank A/c Number

Bank Name

IFS Code

6. NOMINATION DETAILS* (Refer Sr no. 4 of the instructions)

A. The nomination shall be in favor of one or more persons belonging to his/her family. For nominating more than one person, submit Annexure III

B. A fresh nomination shall be made by the subscriber on his/her marriage.

Nominee Name

F i r s t M i d d l e L a s t

Relationship

Age

Date of Birth (In case of Minor)

D D / M M / Y Y Y Y

Name of Guardian

(if nominee is a minor)

F i r s t M i d d l e L a s t

7. SELECTION OF PENSION FUND (PF) AND INVESTMENT CHOICE* (Refer Sr no. 5 of the instructions)

1. Maximum equity allocation under active choice is restricted after 50 years of age. Refer instructions carefully before allocating percentage share in equity.

2. All Citizen: Selection of one PF is mandatory else form will be rejected. If no investment choice is selected, funds will be invested in Auto Choice (LC 50).

3. Corporate Model: The PF / Investment Choice may be exercised in consultation with your Employer.

Pension Fund* (Please Tick (✓) one)

Investment Choice (Please Tick (✓) one)

☐ Aditya Birla Sunlife Pension Mgmt Ltd

☐ ICICI Prudential Pension Funds Mgmt Co Ltd

☐ SBI Pension Funds Private Ltd

☐ UTI Retirement Solutions Ltd

☐ HDFC Pension Mgmt Co Ltd

☐ Kotak Mahindra Pension Fund Ltd

☐ LIC Pension Fund Ltd

☐ Any other (please mention)

☐ Active Choice mention the % share in each asset class below

E (Upto 75)%	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total
% Equity	% Corp Bonds	% Govt Sec	% Alt Assets	100%

OR

☐ Auto Choice select one life cycle fund below

Conservative(LC25) ☐ Moderate (LC50) ☐ Aggressive(LC75) ☐

☐ with the same bank, nominee & investment details ☐ with different bank/nominee/investment details as per Annexure IV

☐ I am a tax resident of India and not resident of any other country ☐ I am a tax resident of the country/ies mentioned below

<p>I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete and hereby accept the same.</p>	<p>Signature / Thumb Impression* of Applicant (refer instructions)</p>
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I have read and understood the terms and conditions of the National Pension System. The information and documents furnished by

Declaration under the Prevention of Money Laundering Act, 2002	
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Date:

d	d	m	m	y	y	y	y
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 Place:

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 (*LTI in case of males and RTI in case of females to be provided. Toe impression in case no hands)

Date of Joining

d	d	m	m	y	y	y	y
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 Date of Retirement

d	d	m	m	y	y	y	y
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12. TO BE FILLED BY POP *

POP Registration Number						POP-SP Registration Number						
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Existing Customer: I/we hereby certify/confirm that Shri/Smt/Kum is an existing KYC verified customer. The above applicant is having an operating Bank/ Post/ Folio/ account (specify nature of the account) having account number / client ID maintained at

Name of the Authorised Person			
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ACKNOWLEDGEMENT

Name of the Subscriber:

Initial Contribution Amount: _____

☐ Cheque/DD ☐ Debit Instruction ☐ Cash

INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

General Guidelines

(a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.

(b) Copies of documents submitted by the applicant should be self-attested.

(c) Applicant is advised to retain the acknowledgment slip signed/stamped by the PoP/PoP-SP office.

Sr. No	Item No	Item Details	Instructions																								
1	1	Option for PRAN Card and Kit	In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under:																								
			Account opening with Physical PRAN card (in Rs.)	Account opening with ePRAN card (in Rs.)																							
		Rs. 39.36 (Excludes applicable Charges)	₹ Rs.4.00 * (Excludes applicable taxes)																								
		Father's Name, Mother's Name	(a) If the name has more than 30 digits, fill Annexure II for the same. (b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted.																								
		Politically Exposed Person	Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.																								
2	4	Proof of Identity and Address	If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the submitted copy																								
3	5	Bank Details	For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing Applicant's Name, Bank Name, Bank Account Number and IFS Code.																								
4	6	Nomination Details	(a) If a subscriber has family at the time of making a nomination, the nomination shall be in favor of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favor of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour of one or more persons belonging to his family. (b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100.																								
5	7	Selection of Pension Fund (PF) & Investment Choice	a. In active choice, until age 50, the maximum allocation in Equity can be upto 75%. From 51 years and above, the equity allocation will be reduced as per the matrix given below. Applicants above 50 years of age should allot equity up to the permissible limit only.																								
			Equity Matrix - Active Choice <table><tr><td>Age (years)</td><td>Upto 50</td><td>51</td><td>52</td><td>53</td><td>54</td><td>55</td><td>56</td><td>57</td><td>58</td><td>59</td><td>60 & above</td></tr><tr><td>Max. Limit (%)</td><td>75</td><td>72.5</td><td>70</td><td>67.5</td><td>65</td><td>62.5</td><td>60</td><td>57.5</td><td>55</td><td>52.5</td><td>50</td></tr></table>	Age (years)	Upto 50	51	52	53	54	55	56	57	58	59	60 & above	Max. Limit (%)	75	72.5	70	67.5	65	62.5	60	57.5	55	52.5	50
			Age (years)	Upto 50	51	52	53	54	55	56	57	58	59	60 & above													
Max. Limit (%)	75	72.5	70	67.5	65	62.5	60	57.5	55	52.5	50																
b. Corporate applicants may exercise these choices if the option is extended to them by the employer or else may be ignored																											
6	8	FATCA & CRS Declaration	Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India: • Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of what ever nationality, is also a resident for tax purpose in USA. • Tax identification Number(TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number) • In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided. • In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form																								
7	9	Tier-II activation	Asset Class A is not available under Tier-II. In case Subscriber has selected to activate Tier-II Account with Same Bank, Nominee and Investment details that of Tier-I whereas he/she has chosen allocation in Asset Class A for Tier-I account, the applicant would be required to submit the Annexure IV for Tier-II mentioning the asset allocations																								
8	10	Declaration / Signature by Applicant	In case the applicant is unable to affixed signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp.																								

Applicable CRA charges:	KFintech
Account Opening charges	₹ 39.36
Account Maintenance Charges (p.a.)	₹ 57.63
Charge per transaction	₹ 3.36

Annexures - Subscriber Registration Form for Private Sector applicants (Tick and fill applicable annexures below)

☐ **Annexure I - Print PRAN Card in Hindi (Fill the details in Devnagri script)**

Applicant's First Name	
Middle Name	
Last Name	
Father/Mother's First Name	
Middle Name	
Last Name	

☐ **Annexure II - If characters of name exceeded the space provided on page 1 of the application form**

Applicant's First Name	
Middle Name	
Last Name	
Father's First Name	
Middle Name	
Last Name	
Mother's First Name	
Middle Name	
Last Name	

☐ **Annexure III - Additional Nomination** ☐ For Tier-I ☐ For Tier-II ☐ For both Tier-I & Tier-II

Percentage Share	Nominee I	Nominee II	Nominee III	Total should be equal to 100%
Nominee I				
Nominee I - Name	F i r s t	M i d d l e	L a s t	
Relationship		Age	Date of Birth (In case of Minor)	D D / M M / Y Y Y Y
Name of Guardian <small>(nominee is a minor)</small>	F i r s t	M i d d l e	L a s t	
Nominee II				
Nominee II - Name	F i r s t	M i d d l e	L a s t	
Relationship		Age	Date of Birth (In case of Minor)	D D / M M / Y Y Y Y
Name of Guardian <small>(nominee is a minor)</small>	F i r s t	M i d d l e	L a s t	
Nominee III				
Nominee III - Name	F i r s t	M i d d l e	L a s t	
Relationship		Age	Date of Birth (In case of Minor)	D D / M M / Y Y Y Y
Name of Guardian <small>(nominee is a minor)</small>	F i r s t	M i d d l e	L a s t	

☐ **Annexure IV - Activate Tier-II (with Different Bank/Nomination/Investment Details - tick and fill as applicable)**

PAN*	Copy of PAN to be attached										
<input type="checkbox"/> No change in Bank details <input type="checkbox"/> Bank details for Tier-II are as under:											
Account Type	<input type="checkbox"/> Saving A/c <input type="checkbox"/> Current A/c										
Bank A/c Number											
Bank Name	IFS Code										
<input type="checkbox"/> No change in Nominee details <input type="checkbox"/> Nominee details for Tier-II are as under:											
Nominee Name	F i r s t M i d d l e L a s t										
Relationship	Age Date of Birth (In case of Minor) D D / M M / Y Y Y Y										
Name of Guardian <small>(nominee is a minor)</small>	F i r s t M i d d l e L a s t										
In case you desire to nominate more than one person, fill Annexure III above											
<input type="checkbox"/> No change in Investment details <input type="checkbox"/> Investment details for Tier-II are as under:											
Pension Fund* (Please Tick (√) one)	Investment Choice (Please Tick (√) one)										
<input type="checkbox"/> Aditya Birla Sunlife Pension Mgmt Ltd <input type="checkbox"/> ICICI Prudential Pension Funds Mgmt Co Ltd <input type="checkbox"/> SBI Pension Funds Private Ltd <input type="checkbox"/> UTI Retirement Solutions Ltd	<input type="checkbox"/> Active Choice mention the % share in each asset class below <table border="1"> <tr> <td>E (Upto75%)</td> <td>C (Upto 100%)</td> <td>G (Upto 100%)</td> <td>A (Upto 5%)</td> <td>Total</td> </tr> <tr> <td>% Equity</td> <td>% Corp Bonds</td> <td>% Govt Sec</td> <td>% Alt Assets</td> <td>100%</td> </tr> </table> OR <input type="checkbox"/> Auto Choice Select one life cycle fund below <input type="checkbox"/> Conservative(LC25) <input type="checkbox"/> Moderate (LC50) <input type="checkbox"/> Aggressive(LC75)	E (Upto75%)	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total	% Equity	% Corp Bonds	% Govt Sec	% Alt Assets	100%
E (Upto75%)	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total							
% Equity	% Corp Bonds	% Govt Sec	% Alt Assets	100%							
<input type="checkbox"/> HDFC Pension Mgmt Co Ltd <input type="checkbox"/> Kotak Mahindra Pension Fund Ltd <input type="checkbox"/> LIC Pension Fund Ltd <input type="checkbox"/> Any other (please mention)											
Name of the Applicant											
Place											
Date	D/ D/ V/ Y/ Y/ Y/ Y/										
Signature / Thumb Impression* of Applicant (refer instructions)											